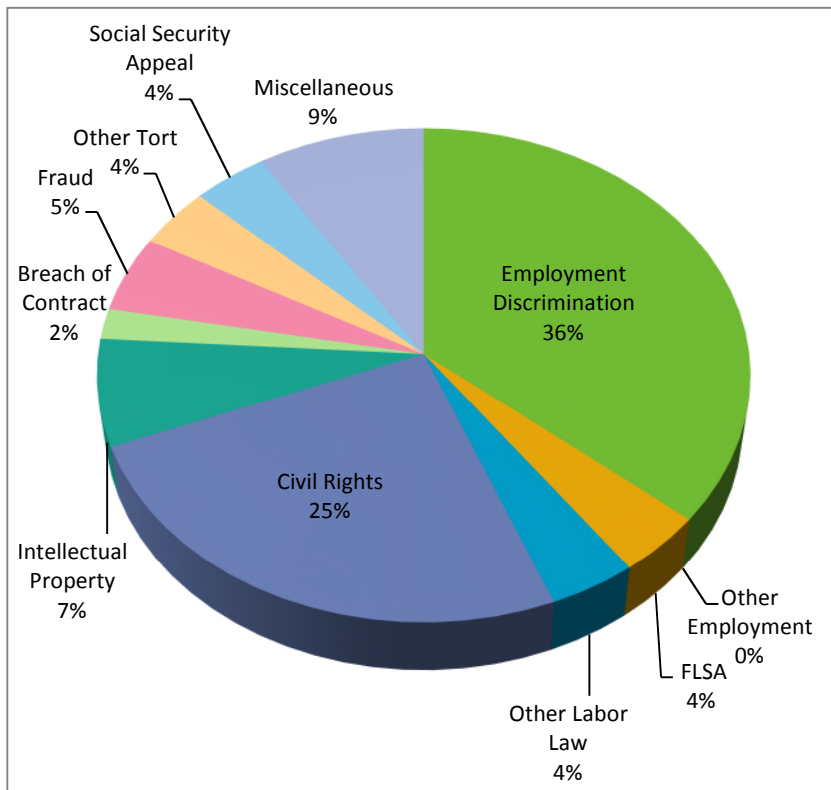


## Overview

During this quarter we:

- Were contacted by **136** individuals.
- Assisted **123** individuals.
- Worked with **51** individuals more than once.
- Held **224** total client consultations, averaging about **75** client consultations per month.
- Provided translator or interpreter services to **14** individuals.
- Diverted **28** individuals to other appropriate fora or services, such as state courts, other federal courts, state and federal administrative agencies, law enforcement agencies, legal services organizations and/or social services organizations. We are aware of only **two** instances where an individual whom we advised to not file suit in this court did so nonetheless; **25** of these individuals told us that they would seek out the alternative forum or services, and **one** told us that he would not file any case.
- Were unable to serve **8** individuals due to a legal conflict or otherwise (litigant was incarcerated, had questions about a suit pending in another forum, or could not be reached after the initial intake despite multiple attempts).
- Secured limited scope pro bono counsel to assist with depositions or mediation in **eight of nine** cases where we sought such counsel, which includes referral of **two** SDNY cases to NYLAG for limited scope representation.
- Secured full representation pro bono counsel in **one of two** cases where we sought such counsel.

## Types of Cases



### Jurisdictional Basis:

- **91%** of clients' filed cases raised **federal questions**
- **9%** of clients' filed cases involved **diversity jurisdiction**

### Pro Se Party:

- **90%** of clients were **plaintiffs**
- **10%** of clients were **defendants**

### Court Location:

- **88%** of clients with filed cases are litigating in **Manhattan**
- **12%** of clients with filed cases are litigating in **White Plains**

### Referral Source:

- **25%** of clients were referred to the clinic by a **judge** (**52%** of these referrals were made by court order and **48%** at a conference)
- **73%** of clients were referred to the clinic by the **Pro Se Intake Unit**;
- **0%** of clients learned of the clinic through the **pro se information package**;
- **1%** of clients learned of the clinic through the **court's website** or **NYLAG's website**; and
- **1%** of clients were **self-referred** or referred by another source.

## Levels of Service Provided

87 individuals received our basic level of service, **advice and counsel**, meaning that we discussed the case and provided information or legal advice, which could include referrals to other legal or non-legal service providers.

34 individuals received an intermediate level of service, **brief services**, meaning that we helped for a limited amount of time with specific matter-related projects, such as research, drafting or strategy.

2 individuals received our highest level of service, **extensive services**, meaning that we spent over 10 hours on matter-related projects (the same types of projects covered by “brief services”).

## Numbers of Individuals Assisted With

Determining Proper Forum	13
Drafting Complaint	3
Other Pre-filing Issues	17
IFP Application	2
Service of Process Issues	2
Removal/Remand	5
Default Judgment	1
Motion to Dismiss	11
Other Motion	5
Answer	3
Amending Complaint	19
Discovery	19
Summary Judgment	7
Pre-trial Preparation	2
Trial	0
Mediation/Settlement	15
Judgment/Post-Judgment	2
Explanation of Status/Process	11
Explanation of Court Order	13
Other	4

## Highlights

During our inaugural quarter we:

- Spoke with representatives of established federal pro se clinics about their experiences and met with Court personnel to discuss clinic procedures;
- Investigated possibilities for limited scope pro bono representation and mediation for pro se litigants; and
- In a matter referred to pro bono counsel for trial, after several days of trial, pro bono counsel secured voluntary dismissal of claims against clients.

## Demographic Data

### Employment Status

4%	Student
11%	Part-Time
27%	Full-Time
46%	Unemployed
13%	Retired

### Highest Education Level

3%	8 <sup>th</sup> Grade or Less
11%	Some High School
11%	High School Diploma
1%	GED
21%	Vocational/Some College
34%	College Diploma
18%	Graduate Degree

### Race

36%	Black
24%	Latino
25%	White
9%	Asian
6%	Other

### Age

72%	18-59
28%	60+

### Gender

57%	Male
43%	Female